

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	200471000
First Inventor or Application Identifier	Jun MIYODA
Title	SEMICONDUCTOR LASER DIODE MODULE
Assignee Name:	THE FURUKAWA ELECTRIC CO., LTD.
Assignee Address:	2-6-1, Marunouchi, Chiyoda-ku, Tokyo, 100-8322 JAPAN

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 11051 U.S. PTO
05/31/01
- ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 - ☒ Specification Total Sheets **44**
 - ☒ Formal Drawing(s) (35 U.S.C. 113)
Total Sheets **21**
 - 4. ☐ Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
 - ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
 - 6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification or Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☒ Application Data Sheet (3 Sheets). See 37 CFR 1.76
- 9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ White Advance Serial No. Postcard
- 14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
- 16. ☒ Other: Request for Priority
List of Inventors

JC997 U.S. PTO
09/867402



10/15/00

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Bradley D. Lytle	Registration No.:	40,073
Signature:	<i>Ch. Ward</i>	Date:	5-31-2001
Name:	Christopher D. Ward	Registration No.:	41,367

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Jun MIYOKAWA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SEMICONDUCTOR LASER DIODE MODULE

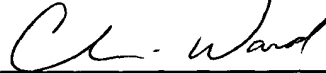
FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	42 - 20 =	22	× \$18 =	\$396.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,236.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,236.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$1,236.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.Bradley D. Lytle
Registration No. 40,073Christopher D. Ward
Registration No. 41,367Date: 5-31-2001**22850**Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jun MIYOKAWA, et al.

FILING DATE: Herewith

FOR: SEMICONDUCTOR LASER DIODE MODULE

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

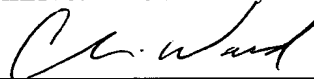
Listed below are the names and addresses of the inventors for the above-identified patent application.

Jun MIYOKAWA	Tokyo, JAPAN
Yuichiro IRIE	Tokyo, JAPAN
Etsuji KATAYAMA	Tokyo, JAPAN
Kaoru SEKIGUCHI	Tokyo, JAPAN
Kiyokazu TATENO	Tokyo, JAPAN

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jun MIYOKAWA, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: SEMICONDUCTOR LASER DIODE MODULE



REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	2000-162097	May 31, 2000
JAPAN	2001-67198	March 9, 2001

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☒ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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